UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/664,213	09/16/2003	Hassan Mostafavi	005513P021	3361
Daniel E. Ovan	7590 03/03/200 ezian	EXAMINER		
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Seventh Floor			CWERN, JONATHAN	
12400 Wilshire Boulevard		ART UNIT	PAPER NUMBER	
Los Angeles, CA 90025-1026			3737	
			MAIL DATE	DELIVERY MODE
			03/03/2009	PAPER

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)		
	10/664,213	MOSTAFAVI ET AL.		
Office Action Summary	Examiner	Art Unit		
	Jonathan G. Cwern	3737		
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address		
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 16(a). In no event, however, may a reply be tin 11 apply and will expire SIX (6) MONTHS from 12 cause the application to become ABANDONE	Lely filed the mailing date of this communication. (35 U.S.C. § 133).		
Status				
1) Responsive to communication(s) filed on 19 No.	action is non-final. ace except for formal matters, pro			
Disposition of Claims				
4) ☐ Claim(s) 1-16,49-58,62-79 and 81-86 is/are per 4a) Of the above claim(s) is/are withdraw 5) ☐ Claim(s) is/are allowed. 6) ☐ Claim(s) 1-16,49-58,62-79 and 81-86 is/are rejection of the company of the	vn from consideration.			
Application Papers				
9) The specification is objected to by the Examiner 10) The drawing(s) filed on is/are: a) access applicant may not request that any objection to the or Replacement drawing sheet(s) including the correction and the order is objected to by the Example 11).	epted or b) objected to by the Idrawing(s) be held in abeyance. See on is required if the drawing(s) is obj	e 37 CFR 1.85(a). ected to. See 37 CFR 1.121(d).		
Priority under 35 U.S.C. § 119				
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No.</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>				
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date 11/19/08.	4) Interview Summary Paper No(s)/Mail Da 5) Notice of Informal P 6) Other:	ite		

Art Unit: 3737

#### **DETAILED ACTION**

### **Double Patenting**

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claims 1-16, 49-58, 62-79 and 81-84 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 15-21, 24, 31-43, and 53-60 of copending Application No. 10/664308. Although the conflicting claims are not identical, they are not patentably distinct from each other because determining the coordinates of the markers with respect to the beam isocenters is an obvious modification.

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

### Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claim 12 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. The wording of claim 12 is confusing, in particular the term "removing the one or more non marker objects". It is unclear as to whether this encompasses removing the non marker objects on the image, or physically removing them from the body, especially in light of earlier claims in which markers are implanted.

## Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to

Application/Control Number: 10/664,213 Page 4

Art Unit: 3737

consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 1-3, 5-7, 13-14, 16, 49-58, 62, 76-77, 82, and 84 are rejected under 35 U.S.C. 103(a) as being unpatentable over Mate et al. (US 2002/0193685) in view of Cosman (US 2002/0065461).

Mate et al. show a guided radiation therapy system. The radiation delivery source can be a linear accelerator, or any other type of radiation therapy device ([0034]). The radiation device has a machine isocenter associated with it. This is the isocenter of the radiation beam ([0035]). A plurality of markers are positioned in the target to mark the actual location of the target in the body. These markers define a target isocenter. The target isocenter is selected as part of a treatment planning procedure by a treatment planning system ([0054]). The position and orientation of each marker is obtained and used to determine the precise location of the target isocenter ([0036]-[0037]). The markers can be implanted in the patient, and delivered by an applicator needle ([0041]). The actual position of the target isocenter is compared to the position of the machine isocenter, and if they are spatially misaligned, the target can be moved relative to the machine isocenter. Once the target isocenter and machine isocenter are coincident, the radiation treatment is applied ([0039]). Determining the position manually would be a well known and obvious modification to one of ordinary skill in the art. Mate et al. fail to show using more than one imaging modality.

Art Unit: 3737

Cosman discloses a surgical positioning system. Cosman teaches that X-ray imaging can be used to further refine the positioning of the isocenter. The X-ray images can aid in determining the position of markers within the body. The use of X-ray imaging further improves the accuracy of the alignment ([0064]-[0069]). Furthermore, the same imaging modality could be used. Cosman teaches the use of preoperative CT scanning ([0064]) and the use of interoperative CT scanning as well ([0065]). These imaging systems are located on different machines, one being used for planning the treatment and one being used for the actual treatment. As indicated by applicant's specification ([0064]), various configurations are known in the art and may be used, including imagers located on a gantry or as part of a treatment table. Cosman also teaches that both the treatment machine and the patient can be moved to accomplish desired positional relationships ([0024]). The treatment machine is rotatable ([0025]). A multileaf collimator or any other type of known collimator can be used as well ([0026]).

It would have been obvious to one of ordinary skill in the art, at the time the invention was made, to have used a second imaging system to align the patient and the treatment beam as taught by Cosman in the system of Mate et al. The use of a second imaging system will increase the accuracy of the alignment.

Claims 4 and 83 are rejected under 35 U.S.C. 103(a) as being unpatentable over Mate et al. (US 2002/0193685) in view of Cosman (US 2002/0065461) as applied to claim 1 above, and further in view of Jaffray et al. (US 2003/0007601).

Jaffray et al. disclose a radiation therapy system. Jaffray et al. teach that kV or MV imaging can be used to aid in lesion location ([0008]).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to have modified the device of Mate et al. with any imaging modality which will aid in the radiation therapy process, kV or MV imaging between two such possible imaging modalities which are known for aiding in lesion location.

Claims 8-9, 12, 78-79, and 81 are rejected under 35 U.S.C. 103(a) as being unpatentable over Mate et al. (US 2002/0193685) in view of Cosman (US 2002/0065461) as applied to claims 6 and 7 above, and further in view of Jang (US 5757953).

Jang discloses an automated method and system of region decomposition in digital radiographic images. Jang teaches that shape filtering and connected component analysis are used to decompose an image into meaningful subregions (column 11, lines 30-67). The median filters can be used to smooth the image (column 9, line 3). The details of the operation of median filtering are old and well-known in the art.

It would have been obvious to one of ordinary skill in the art, at the time the invention was made, to have used a median filter and connected component analysis as taught by Jang, in the combined system of Mate et al. and Cosman. One of ordinary skill in the art would have used these techniques to divide the image into useful regions, and to find the location of the markers in the images. In addition, by determining the

location of markers in the image, the user would know which objects are not markers. It would be obvious to one of ordinary skill in the art to make sure that these objects would then not be considered as markers, and would not be used for any further steps, such as during the alignment.

Claims 10-11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Mate et al. (US 2002/0193685) in view of Cosman (US 2002/0065461) and Jang (US 5757953) as applied to claim 8 above, and further in view of Gerig et al. (US 5446548).

Gerig et al. disclose a patient positioning and monitoring system. Gerig et al. teach the use of an epipolar line constraint (column 5, lines 19-43). Such a technique is old and well known in the art.

It would have been obvious to one of ordinary skill in the art, at the time the invention was made, to have used an epipolar line constraint technique as taught by Gerig et al. in the combined system of Mate et al., Cosman, and Jang. One of ordinary skill in the art would use such a technique to aid in aligning the markers in the sets of images.

Claims 15, 63-72, and 74 are rejected under 35 U.S.C. 103(a) as being unpatentable over Mate et al. (US 2002/0193685) in view of Cosman (US 2002/0065461) as applied to claims 1 and 14 above, and further in view of Fitzpatrick et al. (US 6073044).

Fitzpatrick et al. disclose a method for determining the location in physical space of a point of a fiducial marker. Fitzpatrick et al. teach that a rigid body transform is necessary to register and align the coordinate systems of two imaging modalities (column 1, lines 42-58). A rigid body transform technique is old and well known in the art.

It would have been obvious to one of ordinary skill in the art, at the time the invention was made, to have used a rigid body transform technique as taught by Fitzpatrick et al., in the combined system of Mate et al. and Cosman. When using two imaging modalities, such a technique will allow for the two imaging spaces to be properly registered and aligned, and thus the markers in the two images to be aligned. This will allow for the proper positioning adjustment to be determined and executed.

Also, it would have been obvious to have used the same angle for image as for a treatment beam, as this will reduce the amount of time between acquiring images and moving the treatment system into the proper location, because it is already in the proper location. A shorter time between imaging and treatment will prevent more motion from occurring in between, which would reduce the accuracy of the system.

Claims 73 and 75 are rejected under 35 U.S.C. 103(a) as being unpatentable over Mate et al. (US 2002/0193685) in view of Cosman (US 2002/0065461) and Fitzpatrick et al. (US 6073044) as applied to claim 64 above, and further in view of Carol (US 5622187).

Carol discloses a method and apparatus for patient positioning for radiation therapy. Carol teaches that multiple positioning images can be acquired and a triangulation technique used (column 8, line 61-column 9, line 25).

It would have been obvious to one of ordinary skill in the art, at the time the invention was made, to have acquired images from different angles as taught by Carol in the combined system of Mate et al. and Cosman. Acquiring an image from more than one angle provides additional data that can be used for three-dimensional reconstruction. If the images were acquired at the same angle, this would not be possible.

Claims 85-86 are rejected under 35 U.S.C. 103(a) as being unpatentable over Mate et al. (US 2002/0193685) in view of Cosman (US 2002/0065461) as applied to claims 1 and 58 above, and further in view of Ishikawa et al. (US 6398710).

Ishikawa et al. disclose a radiation dosimetry system. Ishikawa et al. teach the use of implantable devices which measure the radiation delivered to the target site (column 4, lines 25-50).

It would have been obvious to one of ordinary skill in the art, at the time the invention was made, to have modified the combined system of Mate et al. and Cosman to measure the radiation dosage at the target site as taught by Ishikawa et al. Such techniques are well known in the art, and are commonly used in radiation treatment systems, as they allow the physician to determine the optimal radiation dose to treat the patient with, without damaging nearby healthy tissue.

### Response to Arguments

Applicant's arguments filed 11/19/08 have been fully considered but they are not persuasive.

In regards to applicant's arguments regarding the implanted markers, examiner respectfully disagrees. As clearly stated by Cosman in paragraph [0067], the markers are **implanted** in bones.

In response to applicant's arguments against the references individually, one cannot show nonobviousness by attacking references individually where the rejections are based on combinations of references. See *In re Keller*, 642 F.2d 413, 208 USPQ 871 (CCPA 1981); *In re Merck & Co.*, 800 F.2d 1091, 231 USPQ 375 (Fed. Cir. 1986).

#### Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the

Art Unit: 3737

shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jonathan G. Cwern whose telephone number is (571)270-1560. The examiner can normally be reached on Monday through Friday 9:30AM - 6:00PM EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Brian Casler can be reached on 571-272-4956. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Jonathan G Cwern/ Examiner, Art Unit 3737 /BRIAN CASLER/ Supervisory Patent Examiner, Art Unit 3737 Application/Control Number: 10/664,213

Page 12

Art Unit: 3737